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PATENT & TRADEMARK

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/940,179	08/27/2001	Stuart E. Massey	16293/09001

RECEIVED

OCT 05 2001

NELSON MULLINS
COLUMBIA OFFICECONFIRMATION NO. 3507
FORMALITIES LETTER

OC000000006821536

Craig N. Killen
 Nelson Mullins Riley & Scarborough
 P.O. Box 11070
 Columbia, SC 29211

01/22/2002 BABRAHA1 00000265 09940179

01 FC:201
02 FC:205370.00 OP
65.00 OP

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

COPY OF PAPERS
ORIGINALLY FILED*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



sector \$

LAW OFFICES

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A REGISTERED LIMITED LIABILITY PARTNERSHIP

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MUNICH, GERMANY

November 30, 2001

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

**COPY OF PAPERS
ORIGINALLY FILED**

In re: Application of Stuart E. Massey
Title: "TRANSACTION-BASED ENTERPRISE APPLICATION
INTEGRATION (EAI) AND DEVELOPMENT SYSTEM"
Serial No: 09/940,179 Filed: 8-27-01
Our Ref: 16293/09001

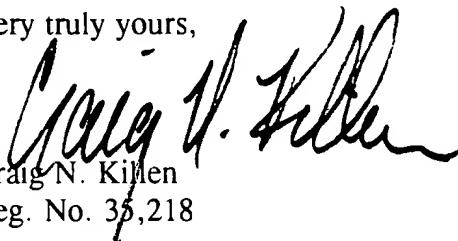
Dear Sir:

The following are being transmitted herewith:

1. Return copy of Notice to File Missing Parts of Nonprovisional Application
2. Fee Transmittal for FY 2002
3. Declaration for Utility or Design Patent Application, 2 pages
4. Assignment and Recordation, 3 pages
5. Check in the amount of \$475.00

Please charge any deficiency or credit any overpayment required by this action to our deposit account no. 50-1196, for which purpose an extra copy of this transmittal letter is attached.

Very truly yours,



Craig N. Killen
Reg. No. 35,218

I hereby certify that this correspondence and any referenced attachment and/or fee are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date listed above.

Martha Boynton

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

JAN 16 2002

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 475)

Complete if Known

Application Number	09/940,179
Filing Date	August 27, 2001
First Named Inventor	Stuart E. Massey
Examiner Name	
Group Art Unit	2161
Attorney Docket No.	16293-09001

COPY OF PAPERS
ORIGINALLY FILED

METHOD OF PAYMENT				
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:				
Deposit Account Number	50-1196			
Deposit Account Name	Nelson Mullins Riley & Scarborough			
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17				
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27				
2. <input checked="" type="checkbox"/> Payment Enclosed:				
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				
FEE CALCULATION				
1. BASIC FILING FEE				
Large Entity	Small Entity			
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	
101 740	201 370	Utility filing fee	370	
106 330	206 165	Design filing fee		
107 510	207 255	Plant filing fee		
108 740	208 370	Reissue filing fee		
114 160	214 80	Provisional filing fee		
SUBTOTAL (1) (\$ 370)				

2. EXTRA CLAIM FEES				
Total Claims	18	Extra Claims	Fee from below	Fee Paid
Independent Claims	3	-20** =	0	0
Multiple Dependent		-3** =	0	0
Large Entity	Small Entity			
Fee Code (\$)	Fee Code (\$)	Fee Description		
103 18	203 9	Claims in excess of 20		
102 84	202 42	Independent claims in excess of 3		
104 280	204 140	Multiple dependent claim, if not paid		
109 84	209 42	** Reissue independent claims over original patent		
110 18	210 9	** Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2) (\$)				

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)				
3. ADDITIONAL FEES				
Large Entity	Small Entity			
Fee Code (\$)	Fee Code (\$)	Fee Description		Fee Paid
105 130	205 65	Surcharge - late filing fee or oath		65
127 50	227 25	Surcharge - late provisional filing fee or cover sheet		
139 130	139 130	Non-English specification		
147 2,520	147 2,520	For filing a request for ex parte reexamination		
112 920*	112 920*	Requesting publication of SIR prior to Examiner action		
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		
115 110	215 55	Extension for reply within first month		
116 400	216 200	Extension for reply within second month		
117 920	217 460	Extension for reply within third month		
118 1,440	218 720	Extension for reply within fourth month		
128 1,960	228 980	Extension for reply within fifth month		
119 320	219 160	Notice of Appeal		
120 320	220 160	Filing a brief in support of an appeal		
121 280	221 140	Request for oral hearing		
138 1,510	138 1,510	Petition to institute a public use proceeding		
140 110	240 55	Petition to revive - unavoidable		
141 1,280	241 640	Petition to revive - unintentional		
142 1,280	242 640	Utility issue fee (or reissue)		
143 460	243 230	Design issue fee		
144 620	244 310	Plant issue fee		
122 130	122 130	Petitions to the Commissioner		
123 50	123 50	Processing fee under 37 CFR 1.17(q)		
126 180	126 180	Submission of Information Disclosure Stmt		
581 40	581 40	Recording each patent assignment per property (times number of properties)		40
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))		
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))		
179 740	279 370	Request for Continued Examination (RCE)		
169 900	169 900	Request for expedited examination of a design application		
Other fee (specify) _____				
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 105)

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Craig N. Killen	Registration No. (Attorney/Agent)	35,218	Telephone 803-255-9382
Signature	<i>Craig N. Killen</i>			Date Nov. 30, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.